

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Palent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1850 Alekandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/749,189	12/30/2003	Alan Welsh Sinclair	SNDK.337US0	9883
66785 7590 07/03/2007 DAVIS WRIGHT TREMAINE LLP - SANDISK CORPORATION 505 MONTGOMERY STREET SUITE 800 SAN FRANCISCO, CA 94111			EXAMINER	
			PEUGH, BRIAN R	
			ART UNIT	PAPER NUMBER
		•		
			MAIL DATE	DELIVERY MODE
			07/03/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
	10/749,189	SINCLAIR, ALAN WELSH				
Office Action Summary	Examiner	Art Unit				
•	Brian R. Peugh	2187				
The MAILING DATE of this communication app						
Period for Reply		·				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DATE - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period we Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUN 36(a). In no event, however, may a rill apply and will expire SIX (6) MO cause the application to become A	ICATION. The reply be timely filed ENTHS from the mailing date of this communication. ABANDONED (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 19 Ap	oril 2007.					
2a) This action is <b>FINAL</b> . 2b) This	This action is <b>FINAL</b> . 2b) ☐ This action is non-final.					
	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under E	x parte Quayle, 1935 C.	D. 11, 453 O.G. 213.				
Disposition of Claims						
4)⊠ Claim(s) <u>1-42</u> is/are pending in the application.						
· · · · · · · · · · · · · · · · · · ·	4a) Of the above claim(s) <u>1-14 and 18-37</u> is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>15-17,38 and 39</u> is/are rejected.	5)⊠ Claim(s) <u>15-17,38 and 39</u> is/are rejected.					
7)⊠ Claim(s) <u>40-42</u> is/are objected to.	☑ Claim(s) <u>40-42</u> is/are objected to.					
8) Claim(s) are subject to restriction and/or	election requirement.					
Application Papers		•				
9) The specification is objected to by the Examiner	•					
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correcti	on is required if the drawin	g(s) is objected to. See 37 CFR 1.121(d).				
11) The oath or declaration is objected to by the Ex	aminer. Note the attache	ed Office Action or form PTO-152.				
Priority under 35 U.S.C. § 119		•				
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C.	§ 119(a)-(d) or (f).				
a) All b) Some * c) None of:	have been received	·				
<ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul>						
3. Copies of the certified copies of the prior		· · ·				
application from the International Bureau	*	Trecorred in the National Stage				
* See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)						
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  Paper No(s)/Mail Date.						
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO/SB/08)</li> <li>Paper No(s)/Mail Date 4/28/06,8/24/06,11/27/06.</li> </ul>		Informal Patent Application				

#### **DETAILED ACTION**

## Response to Amendment

This Office Action is in response to applicant's communication filed April 19, 2007 in response to PTO Office Action dated February 22, 2007. The applicant's remarks and amendment to the specification and/or claims were considered with the results that follow.

Claims 1-42 have been presented for examination in this application. In response to the last Office Action, claims 15-17 and 38-42 have been elected.

#### Information Disclosure Statement

The information disclosure statement (IDS) submitted on 4/28/06, 8/24/06, 11/27/06, is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

### Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 17 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Art Unit: 2187

Claim 17 recites the limitation "the first array of memory cells" in line 2. There is insufficient antecedent basis for this limitation in the claim. Only "an array of memory cells" had been previously claimed.

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 15-17, 38, and 39 are rejected under 35 U.S.C. 102(e) as being anticipated by Gonzalez et al. (US# 7,032,065).

Regarding claim 15, Gonzalez et al. teaches a non-volatile memory system, comprising: an array of memory cells arranged in separately programmable planes; a plane having multiple erase blocks, an erase block being the smallest unit of the array that may be individually erased (col. 11, lines 26-34); the system configured to select a number of planes according to characteristics of data to be stored (col. 11, lines 47-62); and the system configured to program individual erase blocks within selected planes in parallel (col. 11, lines 44-46).

Art Unit: 2187

Regarding claim 16, Gonzalez et al. teaches wherein an individual plane is selected according to the number of available erase blocks remaining in the individual plane (col. 11, lines 35-44).

Regarding claim 17, Gonzalez et al. teaches a second array of memory cells; wherein the first array of memory cells is in a flash memory and the second array of memory cells is in a non-volatile random access memory (col. 1, lines 14-17; col. 5, lines 27-33).

Regarding claim 38, Gonzalez et al. teaches a method of storing data in a nonvolatile memory connected to a host, comprising: receiving a first number of logically sequential sectors of data from the host (the two halves of the user data were sequential before splitting); selecting a second number of erase blocks for storage of the first number of sectors (as per example, the second number is two), each of the second number of erase blocks located in a different plane, the second number being less than the number of planes in the memory (two is less than eight), the second number being the smallest number of erase blocks that can contain the first number of sectors (as per example); and programming the first number of sectors to the second number of erase blocks in parallel (col. 11, lines 27-62).

Art Unit: 2187

Regarding claim 39, Gonzalez et al. teaches ones of the first number of sectors are stored in an individual one of the second number of erase blocks in a non-sequential order (once split, the data is no longer sequentially stored in the array).

#### Allowable Subject Matter

Claims 40-42 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The prior art corresponds to related flash systems.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian R. Peugh whose telephone number is (571) 272-4199. The examiner can normally be reached on Monday-Thursday from 7:00am to 4:30pm. The examiner can also be reached on alternate Friday's from 7:00am to 4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Donald Sparks, can be reached on (571) 272-4201. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Application/Control Number: 10/749,189 Page 6

Art Unit: 2187

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 571-272-2100.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

nan R/Peugb rimary Examiner

June 25, 2007